



# Shaw Managed Accounts

Investment Menu

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This Investment Menu is issued by Praemium Australia Limited (ABN 92 117 611 784, AFSL 297956).

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**Shaw**and**Partners**  
an **EFG** company

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## Single assets

### Managed Funds

Many managed funds as approved by the Trustee (for superannuation) or Responsible Entity (for non-super) are available as single assets using the APIR as the code. These single assets invest in funds which may have an additional fee disclosed in the issuer's PDS. For more information please visit the issuer's website.

A list of available managed funds is accessible [here](#).

### Exchange Traded Products

Many Exchange Traded Products ('ETPs') as approved by the Trustee (for superannuation) or Responsible Entity (for non-super) are available as single assets using the ASX ticker as the code. These single assets invest in ETPs which may have an additional fee disclosed in the issuer's PDS. For more information please visit the issuer's website.

A list of available ETPs is accessible [here](#).

### ASX Listed Securities & Hybrids

Many of the largest 300 Australian Securities Exchange (ASX) listed securities and additional ASX listed securities approved by the Trustee (for superannuation) or Responsible Entity (for non-super) are available as single assets using the ASX ticker as the code.

A list of available securities is accessible [here](#).

### International Listed Securities

Many of the largest MSCI World listed securities and other international securities as approved by the Trustee (for superannuation) or Responsible Entity (for non-super) are available as single assets using the security and exchange code ticker as the code.

A list of available securities is accessible [here](#).

## Term deposits

A range of term deposits provided by Australian banks are available through your Appointed Financial Adviser.

# Model Portfolio Profile: SP0003

## Shaw Debt Securities Income Portfolio

**Investment objective:** To provide investors with a predictable level of income whilst minimising risk to capital.

### Investment description

The model invests in a portfolio of ASX-listed debt and shorter dated hybrid securities, debt-based ETFs and debt specialist managed funds. These products offer potential diversification benefits to both Australian equities and cash or term deposits. The model's return will be generated from a combination of interest payments and capital growth (realised and unrealised) from an actively managed portfolio strategy.

### Who is this option suitable for?

Investors who:

- seek a sustainable income stream over a 3-year + time frame, with a lower risk of loss than equities and a higher rate of return than cash-like investments; and
- focus on minimising risk to capital and low volatility of returns.

### Benchmark

RBA cash rate + 1.5%

### Number of securities

5 - 30

### Suggested minimum model investment

\$5,000

### Risk level

Medium - High. Negative return 3 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.39% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian listed debt securities	0	10	90
Australian hybrid securities	0	10	30
Australian listed ETFs (debt based, Australian and international)	0	35	60
Managed funds (listed and unlisted)	0	40	60
Cash	0	5	100

# Model Portfolio Profile: SP0002

## Shaw Hybrid Income Portfolio

**Investment objective:** To generate returns exceeding the benchmark from interest payments and dividends, franking credits and capital growth (realised and unrealised) via an actively managed portfolio strategy.

### Investment description

The Shaw Hybrid Income model invests in listed debt and preference securities aiming to provide a sustainable income stream (inclusive of franking credits).

### Who is this option suitable for?

Investors who seek a sustainable income stream (inclusive of franking credits) over a 3 year+ time frame, with a lower risk of loss than equities and a higher rate of return than cash-like investments.

### Benchmark

RBA cash rate + 3% (inclusive of franking credits)

### Number of securities

10 - 30

### Suggested minimum model investment

\$5,000

### Risk level

High. Negative return 4 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian listed hybrids	0	75	100
Listed debt securities	0	20	80
Cash	0	5	20

# Model Portfolio Profile: SP0004

## Shaw Australian Equity (Large Cap) Income Portfolio

**Investment objective:** To provide a regular and sustainable substantially franked dividend income stream over the medium term (3-5 years).

### Investment description

The portfolio aims to achieve its objective by investing in a portfolio of large-cap Australian listed companies and managed funds. Although the focus is yield generation, the investment process and risk management aim to ensure that risk to capital is minimised with the goal of some capital appreciation via both longer-term price appreciation and actively locking in gains as deemed appropriate to the objectives.

### Who is this option suitable for?

Investors who:

- seek franked dividend income as the primary objective from an Australian equities portfolio and some capital appreciation;
- have an investment horizon of three years or more; and
- accept the risk of share-price volatility.

### Benchmark

S&P/ASX 100 Index (TR)

### Number of securities

10 - 30

### Suggested minimum model investment

\$5,000

### Risk level

High. Negative return 5 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.17% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian equities and funds	80	97	100
Cash	0	3	20

# Model Portfolio Profile: SP0001

## Shaw Australian Equity (Large Cap) Core Portfolio

**Investment objective:** To provide regular income, capital appreciation and outperformance of the S&P/ASX 100 Index (TR) over the medium term (3-5 years) through investment in large-cap shares listed in Australia.

### Investment description

The Shaw Australian Equity (Large Cap) Core Portfolio invests in large-cap Australian equities aiming to provide income and capital appreciation.

### Who is this option suitable for?

Investors who:

- seek exposure to an Australian share portfolio that provides an income stream and capital appreciation;
- have an investment horizon of three years or more; and
- accept the risk of share-price volatility.

### Benchmark

S&P/ASX 100 Index (TR)

### Number of securities

10 - 30

### Suggested minimum model investment

\$5,000

### Risk level

High. Negative return 5 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian equities	90	97	100
Cash	0	3	10

# Model Portfolio Profile: SP0005

## Shaw Australian Equity (Large Cap) Growth Portfolio

**Investment objective:** To provide a level of capital appreciation over the longer term (5–7 years).

### Investment description

The Shaw Australian Equity (Large Cap) Growth is tilted towards stocks that have superior earning growth capacity, and its focus is on the total return of each stock rather than the dividend income. Although the portfolio will generate income, income-focused stocks will be included if their total return criteria fits the portfolio's objective.

### Who is this option suitable for?

Investors who:

- seek long-term capital growth as the primary objective from an Australian equities portfolio and some income;
- are in the accumulation phase;
- have an investment horizon of five years or more; and
- accept the risk of share-price volatility.

### Benchmark

S&P/ASX 100 Index (TR)

### Number of securities

10 - 30

### Suggested minimum model investment

\$5,000

### Risk level

High. Negative return 5 years in every 20 years.

### Suggested minimum time frame

5 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian equities and funds	80	97	100
Cash	0	3	20



# Model Portfolio Profile: SP0006

## Shaw Australian Equity (Small & Mid-Cap) Growth Portfolio

**Investment objective:** The Shaw Australian Equity (Small and Mid-Cap) Growth Portfolio is allocated to managers who specialise in identifying small and mid-sized stocks that have superior earning growth capacity and have a focus on the total return of each stock rather than simply dividend income. It aims to invest in managers who can exhibit a sustainable process to outperform the S&P/ASX Small Ordinaries index on a risk-adjusted basis after fees.

### Investment description

The model will invest into a range of mostly active managed funds that focus on Australian mid and small capitalised companies listed on the ASX.

Shaw's investment process combines quantitative and qualitative criteria and analysis to identify fund managers who are considered to outperform in excess of the benchmark on a risk-adjusted basis after fees. The model will allocate to managers who are deemed to have complementary investment philosophies, management strategies and investment universes to reduce the probability of overlap and lack of diversification.

### Who is this option suitable for?

Investors who:

- seek long-term capital growth as the primary objective from an Australian equities portfolio and some income;
- have an investment horizon of five years or more; and
- accept the risk of share price volatility.

### Benchmark

S&P/ASX Small Ordinaries Index (TR)

### Number of managed funds

3 – 7

### Suggested minimum model investment

\$5,000

### Risk level

High. Negative return 5 years in every 20 years.

### Suggested minimum time frame

5 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	0.96% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian equities (listed and unlisted)	80	97	100
Cash	0	3	20

# Model Portfolio Profile: SP0009

## Shaw Income Goal Portfolio

**Investment objective:** To provide an income stream over the medium term (3–5 years) whilst minimising risk to capital.

### Investment description

The Shaw Income Goal Portfolio aims to achieve its objective by investing in a diversified portfolio of asset classes and strategies that have a focus toward producing sustainable income as opposed to capital growth.

### Who is this option suitable for?

Investors who:

- seek income as the primary objective and some capital appreciation from a broad range of Australian and global asset classes and strategies;
- have an investment horizon of three years or more; and
- accept the risk of volatility in their investment return.

### Benchmark

RBA cash rate + 3% (Gross Income and Total Return)

### Number of securities

60 - 140

### Suggested minimum model investment

\$100,000

### Risk level

Medium. Negative return 2 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.22% p.a.
Indirect Cost Ratio (approx)*	0.29% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Debt securities income	0	13	30
Hybrid income	0	21	35
Australian equities income (large cap)	0	35	60
International equities	0	13	40
Liquid alternatives	0	15	40
Cash	0	3	100

# Model Portfolio Profile: SP0008

## Shaw Balanced Portfolio

**Investment objective:** To provide income over the medium term (4-6 years) together with some capital growth whilst minimising risk to capital.

### Investment description

The Shaw Balanced Portfolio is a diversified portfolio of asset classes and strategies aiming to provide income over the medium term (4-6 years) together with some capital growth.

### Who is this option suitable for?

Investors who:

- Seek a balance of income and capital growth as the primary objective from a broad range of Australian and global asset classes and strategies;
- have an investment horizon of four years or more; and
- accept a moderate risk of volatility in their investment return.

### Benchmark

RBA cash rate + 4% (Gross Income and Total Return)

### Number of securities

60 - 140

### Suggested minimum model investment

\$100,000

### Risk level

Medium - High. Negative return 3 years in every 20 years.

### Suggested minimum time frame

4 years

### Fees

Investment fee	0.22% p.a.
Indirect Cost Ratio (approx)*	0.35% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Debt securities	0	8	50
Hybrid securities	0	12	50
Australian equities (large cap)	0	33	60
Australian equities (small/mid cap)	0	8	30
International equities	0	16	40
Liquid alternatives	0	20	40
Cash	0	3	100

# Model Portfolio Profile: SP0010

## Shaw Growth Goal Portfolio

**Investment objective:** To provide regular and sustainable capital growth over the longer term (5-7 years).

### Investment description

The Shaw Growth Goal Portfolio is a diversified portfolio of asset classes and strategies with a growth tilt that invests in a diversified portfolio of asset classes and strategies. The strategy is designed to have a high level of risk.

### Who is this option suitable for?

Investors who:

- seek capital growth as the primary objective and some income from a broad range of Australian and global asset classes and strategies;
- have an investment horizon of five years or more; and
- accept the risk of volatility in their investment return.

### Benchmark

RBA cash rate + 5%

### Number of securities

60 - 140

### Suggested minimum model investment

\$100,000

### Risk level

High. Negative return 4 years in every 20 years.

### Suggested minimum time frame

5 years

### Fees

Investment fee	0.22% p.a.
Indirect Cost Ratio (approx)*	0.37% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Australian equities (large cap) growth	0	45	80
Australian equities (small/mid cap) growth	0	16	40
International equities	0	16	40
Liquid alternatives	0	20	40
Cash	0	3	100

# Model Portfolio Profile: SP0011

## Shaw Liquid Alternatives Portfolio

**Investment objective:** To provide regular and sustainable income and capital growth over the medium term (3 – 5 years) whilst minimising risk to capital.

### Investment description

The portfolio is a blend of strategies and investments that can be expected to have a lower correlation to equities, bonds and other traditional beta-style investments. The portfolio was designed primarily to lower the downside variance of an income, balanced or growth portfolio that uses a mixture of bonds and equities to derive a given long-term return. The strategies and managers chosen for the portfolio have a demonstrable track record of protecting capital during downturns and when blended in the appropriate weights can significantly reduce the downside potential of a bond and equity portfolio. Asset classes and strategies may include Global Macro, Managed Futures (Trends), Long/Short and Market Neutral, Commodities and Dynamic Markets. This portfolio is designed to act as a volatility dampener and diversifier to an existing portfolio of liquid assets.

### Who is this option suitable for?

Investors who:

- seek sustainable and lower volatility returns (mix of income and capital growth) as the primary objective that will be less correlated to moves in underlying asset prices in traditional investments such as equities and bonds;
- when used as a standalone investment option, are looking for a lower risk/lower return exposure that is not correlated with traditional asset class returns;
- when blended with a traditional balanced or growth portfolio, seek to reduce drawdown and smooth returns;
- have an investment horizon of three years or more; and
- accept the risk of volatility in their investment return.

### Benchmark

RBA cash rate + 3%

### Number of securities

3 - 20

### Suggested minimum model investment

\$20,000

### Risk level

Low - Medium. Negative return 2 years in every 20 years.

### Suggested minimum time frame

3 years

### Fees

Investment fee	0.00% p.a.
Indirect Cost Ratio (approx)*	1.24% p.a.
Performance fee	Nil

You can invest a maximum of 20% of your account balance in this model.  
 \*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
 Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/shaw](http://www.praemium.com.au/shaw)

Asset allocation ranges (%)			
	Min	Target	Max
Liquid alternative assets	80	97	100
Cash	0	3	20

# Model Portfolio Profile: MACAUD

## Managed Account Cash

**Investment objective:** To provide a low-risk investment return by investing in cash assets with high liquidity.

### Investment description

All cash monies are held in a bank account or invested in a SMA holding in a cash fund.

### Who is this option suitable for?

Investors who:

- seek a low-risk investment with regular income; and
- seek capital preservation.

### Benchmark

Not applicable

### Number of securities

Not applicable

### Suggested minimum model investment

No fixed minimum

### Risk level

Low. Negative return less than 1 years in every 20 years.

### Suggested minimum time frame

1 year

### Fees

Investment Fee	Nil
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance Fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.

Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/resources](http://www.praemium.com.au/resources)

Asset allocation ranges (%)			
	Min	Target	Max
Cash	100	100	100

# Model Portfolio Profile: AB0102

## AllianceBernstein Concentrated Global Growth SMA

**Investment objective:** The portfolio seeks long-term growth of capital by investing in an actively managed concentrated portfolio of listed securities considered by the portfolio manager to be of very high quality issued by companies with predictable growth.

### Investment description

The Model Manager seeks to achieve the investment objective of the portfolio by composing a portfolio of highly liquid, listed securities of quality companies from the MSCI World universe. These companies are chosen for their specific growth and business characteristics, earnings development, financial position and experienced management.

### Who is this option suitable for?

Investors who:

- are considered long term investors (5 years +); and
- seek exposure to a concentrated portfolio of high-quality global equities with superior return potential with generally low turnover.

### Benchmark

MSCI World Index

### Number of securities

25 – 35

### Suggested minimum model investment

\$65,000

### Risk level

High. Negative return 4 years in every 20 years.

### Suggested minimum time frame

5 years

### Fees

Investment Fee	0.66% p.a.
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance Fee	Nil

You can invest a maximum of 50% of your account balance in this model.  
 \* Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
 Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/resources](http://www.praemium.com.au/resources)

Asset allocation ranges (%)			
	Minimum	Target	Maximum
International equities	90	98	100
Cash	0	2	10

# Model Portfolio Profile: SP0200

## Shaw EFG US Future Leaders

**Investment objective:** To provide a return exceeding the MSCI US Mid Cap Growth TR index over rolling 10-year periods.

### Investment description

The US Future Leaders model is a concentrated US stock portfolio, designed to provide direct equity exposure to rapidly growing businesses with significant opportunity to develop into future mid- or large-cap companies, primarily via organic growth. Stocks are selected through a proprietary in-house systematic framework. The team's objective is to identify the highest quality, fastest growing companies and trade them at the right time by adhering to a structured investment process. By identifying these Future Leaders early, they believe the portfolio will afford investors with the opportunity to earn superior long-term returns. Portfolio construction will be rooted in our fundamentally based investment philosophy and process – with a focus on the four primary growth sectors of the economy (technology, healthcare, consumer discretionary, and financial services).

### Who is this option suitable for?

Investors who:

- are interested in emerging leader growth stocks;
- are sophisticated investors with long-term investment horizons (5+ years);
- have a high tolerance for risk; and
- seek capital appreciation.

### Benchmark

MSCI US Mid Cap Growth TR

### Number of securities

20 - 35

### Suggested minimum model investment

\$100,000

### Risk level

Very High. Negative return 6 years in every 20 years.

### Suggested minimum time frame

10 years

### Fees

Investment fee	0.55% p.a.
Indirect Cost Ratio (approx)*	0.00% p.a.
Performance fee	Nil

\*Indirect Cost Ratio (approx) does not include Cash Holding Fee; see PDS for further information.  
Further information on all Model Portfolios can be found in the Scheme PDS at [www.praemium.com.au/resources](http://www.praemium.com.au/resources)

Asset allocation ranges (%)			
	Min	Target	Max
International equities	85	95	99
Cash	1	5	15